



COLORADO
Department of Transportation
 Division of Transit & Rail

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DATE: November 20, 2019
TO: Transit & Rail Advisory Committee
FROM: David Krutsinger, Director, Division of Transit & Rail
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SUBJECT: Transit Grants Quarterly Report

Purpose

The memo provides the TRAC a quarterly update on the Transit Grants Program, with data reflective of the quarter ending September 30, 2019.

Action

For information only. No action needed.

Background

Policy Directive 704 states that the T&I Committee shall review quarterly reports submitted by DTR which contain the expenditures and status of all FASTER funded projects and the reconciliation of FASTER funding. FTA Circular 5010.1E requires that CDOT, as a recipient of FTA funds, provide Federal Financial Reports (FFR's) and Milestone/Progress Reports (MPR's). This information is assembled by members of the Division of Transit & Rail (DTR), the Business Office within the Division of Accounting and Finance (DAF), and the Office of Financial Management & Budget (OFMB). This same information is being shared with TRAC as well.

Details

Table 1 provides a summary of all projects and the status of contracting and spending, by year of funding.

Table 1: Financial Trends from Budget to Expenditure

Funding Source & Year	Annual Budget	Total Available Including Roll-Over	% Contracted Last Qtr 6/30/2019	% Spent Last Qtr 6/30/2019	% Contracted This Qtr Ending 9/30/2019	% Spent This Qtr Ending 9/30/2019
FASTER SFY 2015-16	\$15 M	\$20.7 M	100%	90%	100%	94%
FASTER SFY 2016-17	\$15 M	\$17 M	100%	91%	100%	93%
FASTER SFY 2017-18	\$15 M	\$17 M	84%	70%	84%	70%
FASTER SFY 2018-19	\$15 M	\$20.7 M	100%	7%	97%	11%
FTA FFY 2015-16	\$17.2 M	\$18.0 M	100%	97%	100%	98%
FTA FFY 2016-17	\$18.1 M	\$18.6 M	100%	86%	100%	92%
FTA FFY 2017-18	\$20.7 M	\$23.0 M	100%	66%	100%	73%
FTA FFY 2018-19	\$21.9 M	\$25.8 M	69%	40%	100%	44%

Notes:

Budgeted and Total Available Amounts here do not include local matching dollars.
 SFY = State Fiscal Year July 1 - June 30, FFY = Federal Fiscal Year October 1 - September 30.

Project Assistance / Lessons Learned

PD 704 asks DTR to regularly identify projects that are experiencing significant changes to scope, schedule, or budget. Once identified, DTR staff then can apply more project management controls, offer more technical assistance, or it can serve as an advance notice to the TRAC and T&I Committee that some projects may be subject to PD 703's rules regarding budget changes. Table 2 presents the highlights for relevant projects and agencies.

Table 2: Projects Experiencing Significant Changes		
Project	Change being Experienced	Description / Response
Mountain Metro - Bus Stop Shelter Replacements - 2014 FASTER - \$225,000	Delay in the project due to lack of documentation from MMT, the project is complete, final documentation is all that is needed	Project was complete in August 2019, and MMT has not yet submitted final reimbursement or closeout paperwork.
Via Mobility Services - Electric Charging Stations -2017 FTA-5339 \$150,000	Delay in implementation of the project, will expire 12/31/2019	Via has expended \$83K of \$150K, delayed in project implementation due to Via switching electric bus manufacture.
Trinidad Multimodal Station - FASTER Funds 2011 - FASTER Funds 2013 - \$330,920	The project was withdrawn from the City of Trinidad in Oct. 2016. A scaled-down passenger shelter will be completed with Amtrak to close the mitigation obligations incurred by CDOT when I-25 was reconstructed. The cost is expected to be significantly less than the original version.	CDOT has begun negotiating a pay-out option to close this project and environmental obligation for CDOT. Amtrak would then replace the shelter on their own schedule in coordination with the host railroad, BNSF Railway. Amtrak provided an updated cost estimate in August 2019.

Transit Grant Contracting & Invoicing Performance

Table 3 below summarizes the year-over-year progress, showing significant, sustained improvement. Figure 1 provides graphic representation of the timely contracts goal. The dashed line (empty markers) is the target trend line. The solid line (solid markers) is 2019 progress from January 1 through September 27, 2019. The 2019 progress stands at 160 of the forecast 225 contracts to be delivered for the calendar year.

Next Steps

The next quarterly report will be available for the February 2020 meeting, reporting on the period ending December 31, 2019.

Attachments:

None

Table 3: Summary of Grant Contracting & Invoicing Performance	
Goal Area	Results
Timely Contracts	Normal Year: 175 to 200 Grant Agreements, Contracts, & Extensions 2015 Goal: 210 contracts & extensions by Thanksgiving. Met goal. Completed 228 total. 2016 Goal: 235 cont. & ext. 4 wks earlier than 2015. Achieved 2 wks earlier, 246 total. 2017 Goal: 185 contracts & extensions met, 224 total for calendar 2017. 2018 Goal: 198 contracts & extensions met, 290 for calendar year 2018. 2019 Goal: 225 contracts & extensions for calendar year 2019. 160 through 9/27/19.
Timely Payments (Average Days ≤ 30 Days)	45 days to payment, average for SFY July 1 2013 - Jun 30 2014 35 days to payment, average for SFY July 1 2014 - Jun 30 2015 29 days to payment, average for SFY July 1 2015 - Jun 30 2016 26 days to payment, average for SFY July 1 2016 - Jun 30 2017 25 days to payment, average for SFY July 1 2017 - Jun 30, 2018 32 days to payment, average for SFY July 1 2018 - Jun 30, 2019 26 days to payment, average for SFY July 1 2019 - September 29, 2019 (3 months only)
No Statutory Violations	12 Statutory Violations occurred in 2014 (6 of 12 were inherited from fund transfer) 2 Statutory Violations in calendar 2015 2 Statutory Violations in calendar 2016 1 Statutory Violation in calendar 2017 (June 2017; 14 mo trend without a S.V.) 1 Statutory Violations in calendar 2018 (July 2018; 12 mo trend without a S.V.) 1 Statutory Violation in calendar 2019 (March 2019; 7 mo trend without a S.V.)

Figure 1: Timely Contracts Tracking, Goal vs. Actual for Calendar Year 2019

