

**CDOT FY 2012 to FY2013 YEAR-END
TC ALLOCATED ITEMS - ROLL-FORWARD REQUESTS**

As of September 6, 2012

Some amounts adjusted from "Request" based on 2nd closing

Approval Stage	Division or Branch	Summary of Request	SHF	Amount Requested	Amount Recommended (may be more than FY-End)
TC = Needs Transportation Commission Approval	DTD - Traffic Data Collection	Traffic data counts and equipment repair contracts are vital, since, CDOT does not have the equipment to perform these functions. In addition, traffic data collection is an ongoing activity within the DTD. This project collects traffic data, maintains and repairs approximately 100 Automatic Traffic Recorders (ATR's) and Weigh-In-Motion (WIM) equipment throughout the state. It also provides for upgrade of the existing installations and installation of new sites. The large balance is due to the seasonality of the contract versus the state fiscal cycle.	DT535-010 2nd close balance PERS \$6,030 OPER \$506,415	\$ 512,445	\$ 512,445
TC	Organizational Learning & development - Corporate University Consultant - ET668-010 SHF	CDOT University (CDOTU). The purpose of CDOTU is to standardize training efforts across the agency and to better document learning processes. Recommendations were to hire a CDOTU Administrator who can manage the initial phases of startup and deployment. We are currently involved in the hiring process for that position. The amount requested for roll-over (\$25,000) was reserved to bring the consultant back to help the newly hired administrator. This hiring process will not be complete until the first quarter of FY13.	ET668-010	\$ 25,000	\$ 25,000
TC	Organizational Learning & Development - Succession Preparation Training - ET668-010 SHF	Executive Leadership / Succession Preparation Training - This initiative is focused on training for preparing employees for succession. We have a contract with the Federal Office of Personnel Management. OL&D was able to host only two sessions of this program in FY12. Since the two sessions were evaluated as extremely successful by participants and CDOT executive leadership, we would like to maximize the availability for additional attendees. OL&D has already budgeted the same funding level to host two more sessions in FY13, for a total of 4 session.	ET668-010	\$ 176,784	\$ 176,784
TC	Center for Equal Opportunity - Workforce Center's funding (DBE SS)	Workforce Center's funding (DBE SS) - PO - 271001652 As a condition of federal transportation funding, Colorado Department of Transportation (CDOT) must implement a Disadvantaged Business Enterprise program which works to create a level playing field on which DBEs can contract with CDOT. CDOT provides technical assistance and outreach to DBEs, required in Federal Regulations (49 CFR Part 23), through a Construction Development Center (CDC) located in Denver. CDOT is expanding the services of the CDC to rural communities throughout Colorado in partnership with rural Colorado Department of Labor workforce centers. The CDOT Center for EO is requesting \$90,000 be rolled forward from EE680-010 to cover the following committed amounts not currently covered by expected SFY 2013 funding levels: • "Connect 2 DOT" Program Contracts (\$75,000 PERS) • Remaining CDC operational expenses (\$15,000 OPER)	EE680-010	\$ 90,000	\$ 90,000

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TC	HQ Renovation and Staff Relocation - Property Project #18963 from EF621-010 HQ-CM SHF	Rooms 150 & 200 Renovation and Staff Relocation - based on combining different units as an outcome of the Contract Improvement Initiative, this was not contemplated until after the beginning of the FY 2012. The project estimate is \$400,000. DoHRA applied \$120,000 of Controlled Maintenance budget funds to establish the project and Property Management provided \$126,000. As of June 11, 2012 no other funds have been programmed to this project. Therefore, \$154,000 will be required to complete the project.	EF621-010	\$ 154,000	\$ 154,000
TC	Region 1 Aurora Maintenance Section - R15MS-010 - Equipment GL for MagChloride Tanks	Region 1 Aurora Maintenance Section - R15MS-010 - Equipment for MagChloride Tanks - for the statewide Mag Chloride tank replacement plan. The R15MS tanks were not received timely, so the request is to allow the use of FY12 budget to make payment of the FY12 order.	R15MS-010 - CAPT	\$ 187,950	\$ 187,950
TC	Region 2 Pueblo Traffic Section 5 - R25MS-010 - M Project delayed due to Waldo Canyon Fire	Region 2 Pueblo Traffic Section 5 - R25MS-010 - M Project delayed due to Waldo Canyon Fire - requesting remainder of the project funds.	R25MS-010 - PERS	\$ 60,659	\$ 60,659
TC	Region 3 Grnd Jctn Maintenance Section - R32PM-010 - Maintenance Projects for transfer to the Congestion Relief Program - Vail Pass Incident Management	Region 3 Grnd Jctn Maintenance Section - R32PM-010 - Maintenance Projects for transfer to the Congestion Relief Program - Vail Pass Incident Management - Region 3 has a contract with CSP/Vail PD and Eagle County Sherriff for extra support to monitor chain up stations and truck parking during snow emergency at Dotsero. Region allocation of Congestion Mitigation was used to fund this Task order in the past, but this portion was omitted in the FY13 base budget. There is also a FY14 Decision Item proposed to fully fund this in the future.	R32PM-010 - to Congestion Relief Pool and Project	\$ 120,000	\$ 120,000

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TC	Region 5 Section 7 Maintenance and R5 Traffic Hot-Spot Funds	CDOT Region 5 Section 7 and Section 55 worked together to fund and hire a consultant (Stolfus and Associates) to administer a new traffic incident management plan (TIMP) for the US 160 Wolf Creek Tunnel. The TIMP is to develop a response plan in cooperation with emergency first responders to outline how to manage a significant accident/incident when multiple agencies are involved. The new TIMP is being developed through execution of a task order from the Region 3&5 Traffic NPS Contract with Stolfus and Associates. The original task order amount was for \$108,353; to date \$62,787 has been expended against this task order leaving an estimated remaining encumbrance of \$45,566 to complete this work. This work is not expected to be completed until August 2012. The region is requesting a roll-forward of the remaining estimated encumbrance of \$45,566 to complete this work. This effort was funded with joint funding from Section 7 (operating) and Section 55 (hotspot) which needs to be carried forward to complete the project.	R57MS-010 SHF - PERS	\$ 45,566	\$ 45,566
TC	Staff Branches - M&O Property Management SM265-010 / Property Program	Sign Shop Refurbishment - Plotter Enclosure, Office Space and Training/Break Room This one-time request to supplement the FY 13 Property Management program is to remodel the sign shop to accommodate a new plotter, which has become an immediate need. Due to the immediate need this request was not part of the current Property year plan. The need will be met with current budget on an interim basis and this request is made to prevent underfunding and potential long term delay of another planned project by restoring the FY 13 budget. The request identifies the business need for construction of a new plotter enclosure area including office space alterations and a training / break room. The current plotter is 20 years old and replacement parts are becoming increasingly difficult to locate. The plotting function is critical to Sign Shop processes and without an operational plotting machine, sign fabrication would cease. Due to the urgency of this project to be completed as quickly as possible, the Property Management unit of Staff M & O has proceeded with design plans to bid this project for completion over the next few months. As this project is intended to be completed in advance of other priorities/plans, the elimination or delay of other significant projects already planned by Property Management in FY 2013 could occur if this funding request is not approved.	SM265-010	\$ 125,000	\$ 125,000

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TC	Staff Branches - Oversize Overweight (OSOW) Permitting System	<p>New OSOW system will benefit multiple parties including CDOT, Colorado State Patrol, and trucking customers of CDOT. The annual revenue generated from permits issued by the OSOW permit office ranges from \$6-8 million. Features:</p> <ul style="list-style-type: none"> • 24/7 ability to access, apply for, and, in the case of OSOW vehicles within a defined dimensional envelope on defined routes, self-issue OSOW permits; • A "bid routes" component that would allow the industry to develop bids in the system rather than call the OSOW permit office. Aside from the service to the industry, this also frees up CDOT permit office FTE through the reduction of phone calls; • Allowing industry to manage their own fleet within system, expediting the application process. Industry would be able to query their company's past permits to utilize repeated routing, repeated configurations, and more easily maintain record of company activity; • An automated routing component that includes bridge analysis and incorporates construction, or emergency related restrictions to route vehicles around structures or construction zones dependent upon vehicle dimensions and infrastructure restrictions. 	S0190-010 SHF PERS \$1,100,000 OPER 300,000 OTHER \$2,800,000	\$ 4,200,000	\$ 4,200,000
	SUBTOTAL - SHF Requested - TC		SUBTOTAL - SHF Requested - TC	\$ 5,697,404	\$ 5,697,404

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Authorized by TC Policies	Division of Transit & Rail	Due to the statutory guidelines the FY12 balance of FAB480 "FASTER" Transit Funds remaining in the Cost Centers should be moved into FY12 pool PST-STL-13 for subsequent distribution to the Cost Centers or Projects.	D96**-010 & 480	\$ 1,131,747	\$ 1,131,747
Authorized by TC Policies	Staff Branches - ITS Branch - Pool	ITS Project and Equipment Pool cost center - The request is for the remaining balance in SI440-010 The majority of our FY12 plan has been accomplished. - Remaining Funds are due to a change in technology in the systems/network area (equipment) and projects were less than originally estimated throughout the year. Rather than spending the funds on old technologies that would soon become obsolete, we have deferred certain purchases and projects until FY13. Lastly, some "Task Orders" were fully funded in the current fiscal year but done expire until the middle of FY13 and they are fully funded to avoid a disruption in service but all of the funds have not been spent. ITS would be forced to reduce the scope of the Capital Replacement projects, or delay their completion until FY14 or beyond.	SI440-010 PERS-Prf Serv \$130,758 OPER \$566,069 CAPT \$380,554	\$ 1,077,383	\$ 1,077,383
Authorized by TC Policies	Public Information Office - Transportation Conference Fees - normally RF	The Colorado Transportation Conference Steering Committee requested this roll forward so that any excess (private sector) revenues generated will be available to use in preparation for a future Colorado Transportation Conference . Including but not limited to speaker fees and travel costs, meeting site charges, advance marketing and promotion, printing and related expenses.	S0192-010	\$ 34,564	\$ 34,564
Authorized by TC Policies	Staff Branches - M&O Property Management - Property Pool & Projects	Property Pool Cost Center - FY12 year end balance in order to cover higher bids in FY13, replacement of part of the 20% reduction in Controlled/Deferred Mtc and begin design on next F rated bldg.	SM265-010 SHF at 2nd close (& SM275- 010 for \$0)	\$ 3,701	\$ 3,701
Authorized by TC Policies	Staff Branches - Road Equipment	Road Equipment - used per the multi-year statewide Road Equipment Plan The Capital Equipment fund is a "rolling" fund as the Procurement Awards are multi-year and the obligations created against those Awards extend across fiscal year demarcations for acceptance and payment of new equipment related to 122 purchase orders.	S0247-010	\$ 7,533,480	\$ 7,533,480
Authorized by TC Policies	Staff Branches - Road and Safety Equipment Program - S0270-010	Road Equipment - used per the multi-year statewide Road and Safety Equipment Plan. The Capital Equipment fund is a "rolling" fund as the Procurement Awards are multi-year and the obligations created against those Awards extend across fiscal year demarcations for acceptance and payment of new equipment.	S0270-010	\$ 1,259,280	\$ 1,259,280
Authorized by TC Policies	Staff Branches - Other Capital Equipment	Equipment funds for HQ - non-computer / non-road.	E0249-010	\$ 19,321	\$ 19,321

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Authorized by TC Policies	Staff Branches - Snow & Ice Equipment	Equipment funds for Snow & Ice - remaining balance due to RFP timing, bid amounts and procurement issues	E0205-010	\$ 744,891	\$ 744,891
Authorized by TC Policies	IT - Computer Equipment	Computer Equipment - DESKTOPS - remaining balance for completion/continuation of the current Equipment Plan. Please Revert FY12 Balance	EI342-010 CAPT \$10,000 OPER \$896,724	\$ 906,724	Please Revert FY12 Balance
Authorized by TC Policies	IT - Infrastructure Equipment	Computer Equipment - INFRASTRUCTURE - remaining balance for completion / continuation of the current Equipment Plan. Please Revert FY12 Balance	EI343-010 CAPT	\$ 1,525,121	Please Revert FY12 Balance
Authorized by TC Policies	Other Equipment R1001-010	Region 1 Other Capital Equipment "Other" - remaining balance due to bid amounts and procurement issues	R1001-010 SHF	\$ -	\$ -
Authorized by TC Policies	Other Equipment R2001-010	Region 2 Other Capital Equipment "Other" - remaining balance due to bid amounts and procurement issues	R2001-010 SHF	\$ -	\$ -
Authorized by TC Policies	Other Equipment R3001-010	Region 3 Other Capital Equipment "Other" - remaining balance due to procurement issues	R3001-010 SHF	\$ -	\$ -
Authorized by TC Policies	Other Equipment R4001-010	Region 4 Other Capital Equipment "Other" - remaining balance due to procurement issues	R4001-010 SHF CAPT	\$ 2,759	\$ 2,759
Authorized by TC Policies	Other Equipment R5001-010	Region 5 Other Capital Equipment "Other" - remaining balance due to procurement issues	R5001-010 SHF CAPT	\$ 22,033	\$ 22,033
Authorized by TC Policies	Other Equipment R6001-010	Region 6 Other Capital Equipment "Other" - remaining balance due to procurement issues	R6001-010 SHF CAPT	\$ 16,511	\$ 16,511
Authorized by TC Policies	HQ Facilities Management - CM for Multiple sites / Property Program	Items listed for HQ improvements - EF621-010 FY12 balance - Need for remodels	EF621-010	\$ 1,628	\$ 1,628
Authorized by TC Policies	R15CM-010 Controlled Maintenance / Property Program	R15CM-010 - FY12 balance - Aurora - Section 5 Mtce - The Controlled Maintenance is part of the Property Program, which continues beyond the fiscal year due to the time necessary to identify, design and construct these CDOT facility repairs or improvements. The small amount or \$0 in some areas is because these are remnants of the budget left from tasks, or being collected for larger contract repairs.	R15CM-010 SHF CAPT \$13,450 OPER \$381	\$ 13,831	\$ 13,831
Authorized by TC Policies	R41CM-010 Controlled Maintenance / Property Program	R41CM-010 - FY12 balance - Greeley - Section 1 Mtce	R41CM-010 SHF CAPT \$1,051 OPER \$1,698	\$ 2,749	\$ 2,749
Authorized by TC Policies	R36CM-010 Controlled Maintenance / Property Program	R36CM-010 - FY12 balance - Craig - Section 6 Mtce	R36CM-010 SHF	\$ -	\$ -

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Authorized by TC Policies	R53CM-010 Controlled Maintenance / Property Program	R53CM-010 - FY12 balance - Durango - Section 3 Mtce	R53CM-010 SHF	\$ -	\$ -
Authorized by TC Policies	R57CM-010 Controlled Maintenance / Property Program	R57CM-010 - FY12 balance - Alamosa - Section 7 Mtce	R57CM-010 SHF CAPT \$13 OPER \$62,940	\$ 62,940	\$ 62,940
Authorized by TC Policies	R68CM-010 Controlled Maintenance / Property Program	R15CM-010 - FY12 balance - Denver Section 8 Mtce	R68CM-010 SHF CAPT \$80,000 OPER \$17,091	\$ 97,091	\$ 97,091
		Authorized by TC Policies	TOTAL	\$ 14,455,754	\$ 12,023,909
	Subtotal - SHF		Subtotal - SHF	\$ 20,153,158	\$ 17,721,313
		SHF Year-End Balance		FY12 BALANCE of SHF	\$ 43,912,548
				TC = Items Needing Transportation Commission Approval	\$ (5,697,404)
				NET After Decision Items	\$ 38,215,144
				Authorized by TC Policies	\$ (12,023,909)
				BALANCE to TCCRF (if Requests approved)	\$ 26,191,235