



COLORADO

Department of Transportation

Division of Accounting and Finance

4201 East Arkansas Avenue, Room 262
Denver, CO 80222

MEMORANDUM

TO: TRANSPORTATION COMMISSION
FROM: MARIA SOBOTA, CHIEF FINANCIAL OFFICER (CFO)
DATE: SEPTEMBER 17, 2015
SUBJECT: FY2014-15 COST CENTER ROLL FORWARD REQUESTS

Purpose

This memorandum allows the Transportation Commission (TC) to review requests to roll FY2014-15 cost center balances into FY2015-16.

Action

All items are informational.

Background & Details

In accordance with Policy Directive PD 703.0, all requests to roll forward ending cost center balances from the previous to the current fiscal year in amounts less than \$1.0 million are subject to approval by Executive Management. Two signatures are required for approval.

Transportation Systems Management & Operations (TSM&O)

TSM&O requested a roll forward to FY2015-16 in the amount of \$689,602, which is presented to the TC today for informational purposes. According to PD 703.0, roll forward requests that are less than \$1.0 million can be approved by two Executive Management signatures. Attachment A lists FY2014-15 to FY2015-16 roll forward requests that have been approved by Executive Management, totaling \$3.7 million. These roll forwards will allow 10 CDOT offices to further advance their program goals into FY2015-16.

Transportation Summit Conferences

In August 2015, OFMB, with the CFO and Chief Engineer's approval, enacted an automatic roll forward in the amount of \$19,058 from FY2014-15 to FY2015-16 for Transportation Summit Conferences. This roll forward, presented in September 2015 to the TC for informational purposes, is in addition to the other automatic roll forwards presented to the TC in August 2015 (Attachment B).

Key Benefits

Staff-approved and automatic roll forwards allow Departmental operations to continue uninterrupted while minimizing budget considerations.

Attachments

- A: Staff-Approved Roll Forwards
- B: Automatic Roll Forwards



Attachment A: FY2015-16 Staff-Approved Roll Forwards

Office	Total Requested	Staff Note
Division of Transportation Development	\$544,487	
Program Management Office	\$959,811	Of the total, \$761,571 is a request for additional indirect spending authority
Transportation Systems Management & Operations	\$689,602	
Office of Transportation Safety	\$326,613	
Office of Major Project Development	\$874,706	This request is for additional indirect spending authority
Region 1	\$18,000	
Region 2	\$62,515	
Region 3	\$4,096	
Region 4	\$16,000	
Region 5	\$230,000	
TOTAL	\$3,725,830	



Attachment B: Automatic Roll Forwards

Program	Cost Centers	Roll Forward Criteria	Justification	Project or Operating Expense	Responsible Party
Bridge Enterprise (BE)	B8800-538, B88AD-538, B88MS-538, B88SP-538	All fund balances	Statutory	Both	BE Board/OFMB
High Performance Transportation Enterprise (HPTE)	T8620-536, T8630-536, T8640-536, T8650-536, T8700-537	All fund balances	Statutory	Both	HPTE Board/OFMB
FASTER Transit	D9620-010, D9635-010, D9636-010, D9637-010, D9638-010	All fund balances	Statutory	Operating	DTR
Aeronautics	V0090-020, VDG15-033, V0091-020, V009J-020, V0095-032, V009A-033, V009D-033, V009E-033, V009F-033, V009N-033, V009R-033, V009T-033, V009W-033, V009X-033, V009Z-033, VDGPC-033	All fund balances	Statutory	Both	Aero Board
Motorcycle Operator Safety Training (MOST)	ST890-309, ST892-309, ST895-308, ST896-308	All fund balances	Statutory	Both	Office of Transportation Safety
Law Enforcement Assistance Fund (LEAF)	ST880-307, ST882-307, ST885-304	All fund balances	Statutory	Both	Office of Transportation Safety
Heavy Road Equipment	S0247-010, S0270-010	All fund balances	2009 CFO Memo	Capital Purchases	Maintenance Division
Property Pool	SM265-010, S0014-010	All fund balances	2009 CFO Memo	Capital projects	DAS--Property Manager
Intelligent Transportation Systems (ITS)	O1440-010	All fund balances	2009 CFO Memo	Both	TSM&O--ITS Branch
Non-road, non-computer (other) equipment.	E0249-010, R1001-010, R2001-010, R3001-010, R4001-010, R5001-010	Only fund balances associated with encumbrances	Previous Budget Director Memo	Capital Purchases	Project Support & Region RTDs
Transportation Summit Conference	S0192-010	All fund balances	2015 CFO Memo	Operating	Intergovernmental Relations/Communications
Transportation Engineering Training Program (TETP)	S0193-010	All fund balances	2009 CFO Memo	Operating	Office of Employee Development





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MEMORANDUM

TO: TRANSPORTATION COMMISSION
FROM: MARIA SOBOTA, CHIEF FINANCIAL OFFICER (CFO)
DATE: SEPTEMBER 17, 2015
SUBJECT: FY 2014-15 REVENUE RECONCILIATION AND FY 2015-16 TRANSPORTATION COMMISSION CONTINGENCY RESERVE FUND (TCCRF) RECONCILIATION

Purpose

This memorandum summarizes the preliminary Fiscal Year (FY) 2015-16 revenue reconciliation and Transportation Commission Contingency Reserve Fund (TCCRF) surplus balance reconciliation.

Action

The Division of Accounting and Finance (DAF) is asking the Transportation Commission (TC) to review surplus fund balances from FY 2014-15. Funding considerations that are reviewed will be vetted through the normal monthly supplement process.

Background & Details

At the close of each fiscal year, the Office of Financial Management and Budget (OFMB) within DAF compares the forecasted revenues to the actual revenues and recommends a course of action to the TC for any surpluses or deficits.

FY2014-15 Preliminary Revenue Reconciliation

The preliminary FY 2014-15 revenue reconciliation was drafted using supplemental documents provided by the Colorado Department of Revenue (DOR) and the Federal Highway Administration (FHWA). Given that the federal fiscal year ends September 30, 2015, there is a possibility that FY 2014-15 reconciling amounts may change. CDOT is eligible to receive an increase in the annual allocation known as Federal Redistribution. The amount available to states varies each year. For FY 2013-14, CDOT received \$31.8 million. CDOT has been notified of the preliminary FY 2014-15 amount, which is \$27.8 million. OFMB will provide the TC with future updates of the reconciliation and redistribution of FY 2014-15 revenue in October.

Currently, FY 2014-15 reconciliation reports for CDOT and the Enterprises reveal the following surplus/deficit amounts (see Attachment A):

- CDOT had estimated revenues for the FY 2014-15 budget of \$1.217 billion and received actual revenues and additional federal obligation authority (FY 2014-15 redistribution) in the amount of \$1.345 billion, creating a surplus of \$128.0 million. The surplus is primarily due to higher than forecasted State Highway User Tax Fund revenues, as well as CDOT receiving more Emergency Relief funds than anticipated.



- The Colorado High Performance Transportation Enterprise (HPTE) estimated revenues for FY 2014-15 of \$31.6 million, and received actual revenue in the amount of \$5.4 million, leaving a deficit of \$26.2 million. The FY2014-15 budget was prepared in December 2013 and at that time, HPTE expected \$30.0 million in revenue for a portion of RTD's contribution to the US-36 Phase I Project. The projected revenue was based on an estimated completion date in FY2014-15. However, Phase I of the project was completed in FY2015-16. This reconciliation item is a timing issue.
- The Colorado Statewide Bridge Enterprise estimated revenues for the FY 2014-15 of \$114.9 million, and received actual in the amount of \$121.5 million, creating a surplus of \$6.6 million. This surplus is primarily due to higher than forecasted FASTER Safety Bridge Surcharge revenues.

Transportation Commission Contingency Reserve Fund (TCCRF) Surplus Fund Reconciliation

At the end of each fiscal year, the remaining cost center fund balances are calculated. Approved roll forward requests are deducted from this amount and actual flexible revenue is added to this amount. The final amount is swept and rolled into the TCCRF. For FY 2014-15, this estimated roll forward to the TCCRF is \$43.8 million. Table 1 (below) illustrates this reconciliation and also shows the projected TCCRF balance at the end of FY 2015-16. The TC will be asked to examine FY 2015-16 TCCRF funding considerations. If the TC funds should be appropriated to these programs, supplement items will be presented to the TC in October 2015.



Table 1: Cost Center & Revenue Reconciliation

FY2014-15 Cost Center & Revenue Reconciliation	
FY2014-15 Roll Forwards to TC Contingency:	
Remaining Cost Center Fund Balances	\$ 30,842,867
Automatic Roll Forwards (State Highway Fund)	\$ (20,691,635)
Total Approved & Staff Recommended Roll Forward Requests:	\$ (7,414,721)
· Roll Forward Requests Approved by TC - August	\$ (3,640,000)
· Roll Forward Requests Approved by Executive Management Team - August	\$ (3,085,119)
· Tolled Express Lane Corridors - TSM&O Request - September	\$ (689,602)
Remaining Balance after Roll Forwards	\$ 2,736,511
Estimated Flexible Fund Revenue and Federal Obligation Actuals over the FY2014-15 budget (Refer to Attachment A)	\$ 46,094,868
Damage Recovery (Applied Directly to Cost Centers Facilitating Highway Repairs)	\$ (5,112,628)
Estimated Roll Forwards to TC Contingency	\$ 43,718,750
FY2015-16 TCCRF Reconciliation	
FY2014-15 September Additional Estimated Roll Forwards to TC Contingency	\$ 43,718,750
FY2014-15 August TCCRF Balance	\$ 51,996,643
FY2015-16 September Pending Supplement Items:	\$ (2,492,115)
· Savings of State Match for Permanent Flood Recovery Repairs	\$ 7,885
· Transfers of State Funds to Safe Routes to School	\$ (2,500,000)
FY2015-16 September Estimated TCCRF Balance	\$ 93,223,278
FY2015-16 TCCRF Funding Considerations:	
· Return of Unused FASTER Transition Funds (Proposed to Shift to RoadX)	\$ 4,859,055
· FY2015-16 HPTE Payback	\$ 750,852
· FY2015-16 TCCRF Supplement Items - Next 9 months (estimated at \$2M per month)	\$ (18,000,000)
· FY2015-16 State Match for 2013 Flood Related Permanent Repair (Mid level risk)	\$ (15,000,000)
· Union Pacific Railroad Right of Way Resolution	\$ (12,500,000)
· Baptist Road (Reflects Approximately Half of Total Liability)	\$ (5,000,000)
· TSM&O: Tolle Express Lane Operations & Maintenance	\$ (2,085,000)
· Transfer to the SB228 Corridor to Backfill for Southwest Chief Decision	\$ (1,000,000)
· Add Pavement to Platteville Yard facility	\$ (466,781)
· Add Pavement to Greeley West Yard facility	\$ (79,540)
· Proposal to Partially Fund RoadX (Seed \$\$)	\$ (4,701,864)
· Unknowns	\$ -
Projected Contingency Balance - June, 2016	\$ 40,000,000

Next Steps

In October 2015, DAF will:

- Provide updates to the FY2014-15 revenue reconciliation and federal redistribution;
- Provide recommendation for use of the surplus TC Contingency.

Attachments

Attachment A - FY2014-15 Preliminary Revenue Reconciliation & FY2016-17 Initial Revenue Forecast



Attachment A Colorado Department of Transportation Actual/Forecasted Revenue & Federal Obligation Comparison						
REVENUE SOURCE	FY 2015*			Revenue Projections		Comparison
	Budget	Preliminary Actual	Difference	FY 2016	FY 2017**	FY17-FY16
1 STATE FUNDS						
2 HUTF Revenue to CDOT	408,700,000	428,829,160	20,129,160	410,477,300	411,072,367	595,067
3 CDOT Miscellaneous Revenue	29,500,000	36,509,468	7,009,468	19,200,000	19,225,538	25,538
4 General Fund Revenue to CDOT	500,000	500,000	0	205,600,000	0	(205,600,000)
5 State Infrastructure Bank	700,000	405,582	(294,418)	500,000	300,000	(200,000)
6 State Safety Education Funds	2,950,000	3,100,000	150,000	3,000,000	3,324,859	324,859
7 Aeronautics Funds	42,800,000	31,593,412	(11,206,588)	30,000,000	30,119,701	119,701
8 TOTAL STATE FUNDS	485,150,000	500,937,622	15,787,622	668,777,300	464,042,465	(204,734,835)
9 FASTER FUNDS						
10 FASTER Safety - State Share to CDOT	97,900,000	103,796,726	5,896,726	106,300,000	106,726,422	426,422
11 FASTER Safety - Local Share for Rail and Transit	5,000,000	5,000,000	0	5,000,000	5,000,000	0
12 TOTAL FASTER FUNDS	102,900,000	108,796,726	5,896,726	111,300,000	111,726,422	426,422
13 FEDERAL FUNDS						
14 Federal Highway Administration - Flexible	373,930,900	365,100,998	(8,829,902)	364,737,932	382,241,051	17,503,119
15 Federal Highway Administration - Inflexible	102,528,934	100,436,839	(2,092,095)	101,448,051	105,587,028	4,138,977
16 Federal Transit Administration	16,030,718	18,758,753	2,728,035	20,645,277	16,790,520	(3,854,757)
17 National Highway Traffic Safety Administration	6,534,778	7,974,839	1,440,061	7,640,000	7,966,631	326,631
18 Federal Aviation Administration	300,000	245,660	(54,340)	0	92,865	92,865
19 TOTAL NON- EMERGENCY FEDERAL FUNDS	499,325,330	492,517,089	(6,808,241)	494,471,260	512,678,095	18,206,835
20 LOCAL MATCHING FUNDS						
21 Local Match for FHWA Funding	20,069,966	19,797,643	(272,323)	19,876,092	20,654,720	778,628
22 Local Match for FTA Funding	9,314,653	9,978,105	663,452	10,791,003	9,546,478	(1,244,525)
23 TOTAL LOCAL MATCHING FUNDS	29,384,619	29,775,748	391,129	30,667,095	30,201,198	(465,897)
27 Total Colorado Department of Transportation Revenue	1,116,759,949	1,132,027,185	15,267,236	1,305,215,655	1,118,648,181	(186,567,474)
ADDITIONAL FEDERAL OBLIGATION AUTHORITY - EMERGENCT RELIEF & REDISTRIBUTION						
24 Federal Highway Administration - Emergency Relief	100,000,000	185,000,000	85,000,000	174,500,000	93,300,000	(81,200,000)
25 Federal Highway Administration - Redistribution	0	27,786,142	27,786,142			
26 TOTAL ADDITIONAL FEDERAL OBLIGATION AUTHORITY	100,000,000	212,786,142	112,786,142	174,500,000	93,300,000	(81,200,000)
28 Total Colorado Department of Transportation Revenue & Obligation Authority	1,216,759,949	1,344,813,327	128,053,378	1,479,715,655	1,211,948,181	(267,767,474)
Notes:						
Total CDOT Flexible Revenue & Federal Obligation	812,630,900	858,725,768	46,094,868	1,000,015,232	812,538,956	(187,476,276)
Total CDOT Inflexible Revenue & Federal Obligation	404,129,049	486,087,559	81,958,510	479,700,423	399,409,225	(80,291,198)
*Final FY 2015 Revenues will be available in September 2015						
**FY 2017 Projections are subject to change as forecast is finalized						

**Colorado High Performance Transportation Enterprise
Actual/Forecasted Revenue & Federal Obligation Comparison**

REVENUE SOURCE	FY 2015*			Revenue Projections		Comparison
	Budget	Preliminary Actual	Difference	FY 2016	FY 2017**	FY17-FY16
29 STATE FUNDS						
30 Tolling Fee Revenue (Enterprise)	375,000	0	(375,000)	375,000	375,000	0
31 Tolling Violations	0	0	0	0	0	0
32 Interest Income - Exempt	200,000	598,213	398,213	200,000	200,000	0
33 Consulting Fees				2,000,000	2,000,000	0
34 Transfer From CDOT	1,000,000	1,000,000	0			0
35 TOTAL STATE FUNDS	1,575,000	1,598,213	23,213	2,575,000	2,575,000	0
36 LOCAL CONTRIBUTIONS						
37 Managed Lanes Revenue	30,000,000	3,836,767	(26,163,233)	0	0	0
38 TOTAL LOCAL CONTRIBUTIONS	30,000,000	3,836,767	(26,163,233)	0	0	0
39 High Performance Transportation Enterprise Revenue	31,575,000	5,434,980	(26,140,020)	2,575,000	2,575,000	0

**Colorado Statewide Bridge Enterprise
Actual/Forecasted Revenue & Federal Obligation Comparison**

REVENUE SOURCE	FY 2015*			Revenue Projections		Comparison
	Budget	Preliminary Actual	Difference	FY 2016	FY 2017**	FY17-FY16
40 STATE FUNDS						
41 Other Enterprise Charges	0	0	0	0	0	0
42 Interest Income - Exempt	2,400,000	3,020,472	620,472	3,000,000	3,510,298	510,298
43 Cost Recovery	0	0	0	0	0	0
44 TOTAL STATE FUNDS	2,400,000	3,020,472	620,472	3,000,000	3,510,298	510,298
45 FASTER FUNDS						
46 FASTER - Bridge Surcharge	91,100,000	97,560,807	6,460,807	100,100,000	102,083,588	1,983,588
47 TOTAL FASTER FUNDS	91,100,000	97,560,807	6,460,807	100,100,000	102,083,588	1,983,588
48 FEDERAL FUNDS						
49 Buy America Bonds Credit	6,381,900	5,918,642	(463,258)	6,000,000	6,000,000	0
50 Re-distributed FHWA for BE Projects	15,000,000	15,000,000	0	15,000,000	15,000,000	0
51 TOTAL FEDERAL FUNDS	6,381,900	5,918,642	(463,258)	6,000,000	6,000,000	0
52 Statewide Bridge Enterprise Revenue	114,881,900	121,499,921	6,618,021	124,100,000	126,593,886	2,493,886

53 Total Transportation Revenue & Federal Obligation **1,363,216,849** **1,471,748,228** **108,531,379** **1,606,390,655** **1,339,117,067** **(267,273,588)**

Notes: Total FY 17 Revenue is \$2.0M less than sum of CDOT, HPTE, & CBE revenue due to Fee for Service from CDOT to HPTE



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MEMORANDUM

TO: TRANSPORTATION COMMISSION
FROM: MARIA SOBOTA, CHIEF FINANCIAL OFFICER (CFO)
DATE: SEPTEMBER 17, 2015
SUBJECT: FY2016-17 BUDGET WORKSHOP

Purpose

This memorandum summarizes the FY2016-17 revenue projections in preparation for the Transportation Commission's (TC) review of the Draft Program Allocation Budget in October. Decision Items requiring TC approval in October are also included in this memorandum.

Action

The Division of Accounting and Finance (DAF) is asking the TC to review and comment on the FY2016-17 revenue estimates. The TC is also being asked to review two FY2016-17 Decision Items over \$1.0 million. In addition, there are four Staff-Approved Decision Items included in the memorandum for informational purposes.

Background & Details

At the beginning of each fiscal year, the Office of Financial Management and Budget (OFMB) within DAF, creates the initial development of CDOT's revenue allocation for the new fiscal year, followed by developing and balancing the new fiscal year program budget.

FY2016-17 Revenue Estimates

A comparison of the preliminary FY2016-17 revenue estimates versus FY2015-16 for all Transportation Revenue is summarized as follows (see Attachment A - FY2015/FY2016 Budget Revenue Comparison):

- CDOT's FY2016-17 estimated revenues for next fiscal year's Draft Budget are \$1.214 billion, which is a decrease of \$267.8 million or 18%; the majority of the decrease is driven by the prediction that CDOT will not receive any Senate Bill 228 revenue in the fiscal year, compared to the predicted \$205.6 million in FY 2015- 16.
- Colorado's High Performance Transportation Enterprise (HPTE) FY2016-17 estimated revenues for next fiscal year's Draft Budget are \$2.575 million, which is identical to FY 2015 -16. This is because there is no predicted change in tolling revenue or the fee for service by CDOT, the two sources of HPTE revenue.
- Colorado's Statewide Bridge Enterprise (CBE) FY2016-17 estimated revenues for next fiscal year's Draft Budget are \$126.6 million, which is an increase of \$2.494 million or 2.0%; the



majority of the increase is driven by the forecasted increase in FASTER Safety Bridge Surcharge revenues, the primary funding source for CBE.

The attached FY2016/FY2017 Budget Revenues Comparison also identifies revenues estimated for flexible (TC directed) and inflexible programs. FY2016-17 revenues specific to a program are considered inflexible (i.e., MAP-21 and State mandated programs such as safety education and Aeronautics) and have been automatically adjusted based on the total FY2016-17 revenue assumptions. All other program revenues are considered flexible and are estimated based on the FY2015-16 budget amounts as adopted by the TC in March 2015.

- As of September 2015, Senate Bill (SB) 09-228 projections remain at \$206.5 million for CDOT in FY2015-16. However, the current projection assumes ZERO dollars to CDOT for FY2016-17. This reduction in General Fund transfers to CDOT largely accounts for CDOT's estimated revenue reduction for FY2016-17.
- A second major reduction in CDOT allocations for FY2016-17 includes Emergency Relief/Permanent Recovery funding from the federal government for flood repair projects. In FY2015-16, Emergency Relief/Permanent Recovery funding totaled \$174.5 million. However, FY2016-17 allocation projections for Permanent Recovery are estimated to be \$93.3 million.

Decision Items

The TC, as directed by Policy Directive 703.0, is being asked to approve two decision items in excess of \$1.0 million each (see below, and Attachment B):

1. Properties requests a Hazardous Materials (Hazmat) increase from \$2.2 million to \$3.2 million in FY2016-17. A \$1.0 million increase would be the first baseline adjustment for the program in 10 years. This increase would help CDOT maintain compliance standards and is requested in order to support Hazmat's investigative, remedial, evaluation, clean up, and abatement efforts. The TC has previously approved a \$1.0 million increase for FY2015-16 in the first budget supplement.
2. Division of Transportation Development requests a funding increase to \$2.5 million in FY2016-17 for the Safe Routes to Schools (SRTS) program, a request summarized in the August 2015 TC packet under "Informational Items". SRTS promotes safe practices and places to walk or bicycle for K-8 students in Colorado. Please refer to SRTS in the Consent Agenda.

Department staff was given additional Transportation Systems Management & Operations and Maintenance items that are currently under review. They will be presented to the TC in October 2015.

In addition, there are four Decision Item requests that were approved by Staff and the Executive Management Team (see below, and Attachment C):

1. Office of Emergency Management (OEM) requested \$25,000 for Incident Command System (ICS) classes.



2. OEM requested \$65,000 to fund the development and sustainment of a CDOT Incident Management Team.
3. OEM requested \$450,000 for a base budget increase to develop a new communications platform.
4. North Holly requested \$30,000 for an increase in operating expenditures due to necessary upgrades in the HVAC system.

Options and Recommendations

N/A

Next Steps

In October 2015, DAF will:

- Bring final Decision Items to the TC for approval;
- Provide updated revenue projections to the TC;
- Provide the FY2016-17 Draft Program Allocation Budget to the TC;
- Provide the Draft Administrative Budget for TC review;
- Provide the initial FY2016-17 Narrative Budget for TC review.

Attachments

- Attachment A - FY2014-15 Preliminary Revenue Reconciliation & FY2016-17 Initial Revenue Forecast
- Attachment B - FY2016-17 TC Decision Items
- Attachment C - FY2016-17 Staff/EMT Decision Items



Attachment A Colorado Department of Transportation Actual/Forecasted Revenue & Federal Obligation Comparison						
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	Budget	Preliminary Actual	Difference	FY 2016	FY 2017**	FY17-FY16
1 STATE FUNDS						
2 HUTF Revenue to CDOT	408,700,000	428,829,160	20,129,160	410,477,300	411,072,367	595,067
3 CDOT Miscellaneous Revenue	29,500,000	36,509,468	7,009,468	19,200,000	19,225,538	25,538
4 General Fund Revenue to CDOT	500,000	500,000	0	205,600,000	0	(205,600,000)
5 State Infrastructure Bank	700,000	405,582	(294,418)	500,000	300,000	(200,000)
6 State Safety Education Funds	2,950,000	3,100,000	150,000	3,000,000	3,324,859	324,859
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8 TOTAL STATE FUNDS	485,150,000	500,937,622	15,787,622	668,777,300	464,042,465	(204,734,835)
9 FASTER FUNDS						
10 FASTER Safety - State Share to CDOT	97,900,000	103,796,726	5,896,726	106,300,000	106,726,422	426,422
11 FASTER Safety - Local Share for Rail and Transit	5,000,000	5,000,000	0	5,000,000	5,000,000	0
12 TOTAL FASTER FUNDS	102,900,000	108,796,726	5,896,726	111,300,000	111,726,422	426,422
13 FEDERAL FUNDS						
14 Federal Highway Administration - Flexible	373,930,900	365,100,998	(8,829,902)	364,737,932	382,241,051	17,503,119
15 Federal Highway Administration - Inflexible	102,528,934	100,436,839	(2,092,095)	101,448,051	105,587,028	4,138,977
16 Federal Transit Administration	16,030,718	18,758,753	2,728,035	20,645,277	16,790,520	(3,854,757)
17 National Highway Traffic Safety Administration	6,534,778	7,974,839	1,440,061	7,640,000	7,966,631	326,631
18 Federal Aviation Administration	300,000	245,660	(54,340)	0	92,865	92,865
19 TOTAL NON- EMERGENCY FEDERAL FUNDS	499,325,330	492,517,089	(6,808,241)	494,471,260	512,678,095	18,206,835
20 LOCAL MATCHING FUNDS						
21 Local Match for FHWA Funding	20,069,966	19,797,643	(272,323)	19,876,092	20,654,720	778,628
22 Local Match for FTA Funding	9,314,653	9,978,105	663,452	10,791,003	9,546,478	(1,244,525)
23 TOTAL LOCAL MATCHING FUNDS	29,384,619	29,775,748	391,129	30,667,095	30,201,198	(465,897)
27 Total Colorado Department of Transportation Revenue	1,116,759,949	1,132,027,185	15,267,236	1,305,215,655	1,118,648,181	(186,567,474)
ADDITIONAL FEDERAL OBLIGATION AUTHORITY - EMERGENCT RELIEF & REDISTRIBUTION						
24 Federal Highway Administration - Emergency Relief	100,000,000	185,000,000	85,000,000	174,500,000	93,300,000	(81,200,000)
25 Federal Highway Administration - Redistribution	0	27,786,142	27,786,142			
26 TOTAL ADDITIONAL FEDERAL OBLIGATION AUTHORITY	100,000,000	212,786,142	112,786,142	174,500,000	93,300,000	(81,200,000)
28 Total Colorado Department of Transportation Revenue & Obligation Authority	1,216,759,949	1,344,813,327	128,053,378	1,479,715,655	1,211,948,181	(267,767,474)
Notes:						
Total CDOT Flexible Revenue & Federal Obligation	812,630,900	858,725,768	46,094,868	1,000,015,232	812,538,956	(187,476,276)
Total CDOT Inflexible Revenue & Federal Obligation	404,129,049	486,087,559	81,958,510	479,700,423	399,409,225	(80,291,198)
*Final FY 2015 Revenues will be available in September 2015						
**FY 2017 Projections are subject to change as forecast is finalized						

**Colorado High Performance Transportation Enterprise
Actual/Forecasted Revenue & Federal Obligation Comparison**

REVENUE SOURCE	FY 2015*			Revenue Projections		Comparison
	Budget	Preliminary Actual	Difference	FY 2016	FY 2017**	FY17-FY16
29 STATE FUNDS						
30 Tolling Fee Revenue (Enterprise)	375,000	0	(375,000)	375,000	375,000	0
31 Tolling Violations	0	0	0	0	0	0
32 Interest Income - Exempt	200,000	598,213	398,213	200,000	200,000	0
33 Consulting Fees				2,000,000	2,000,000	0
34 Transfer From CDOT	1,000,000	1,000,000	0			0
35 TOTAL STATE FUNDS	1,575,000	1,598,213	23,213	2,575,000	2,575,000	0
36 LOCAL CONTRIBUTIONS						
37 Managed Lanes Revenue	30,000,000	3,836,767	(26,163,233)	0	0	0
38 TOTAL LOCAL CONTRIBUTIONS	30,000,000	3,836,767	(26,163,233)	0	0	0
39 High Performance Transportation Enterprise Revenue	31,575,000	5,434,980	(26,140,020)	2,575,000	2,575,000	0

**Colorado Statewide Bridge Enterprise
Actual/Forecasted Revenue & Federal Obligation Comparison**

REVENUE SOURCE	FY 2015*			Revenue Projections		Comparison
	Budget	Preliminary Actual	Difference	FY 2016	FY 2017**	FY17-FY16
40 STATE FUNDS						
41 Other Enterprise Charges	0	0	0	0	0	0
42 Interest Income - Exempt	2,400,000	3,020,472	620,472	3,000,000	3,510,298	510,298
43 Cost Recovery	0	0	0	0	0	0
44 TOTAL STATE FUNDS	2,400,000	3,020,472	620,472	3,000,000	3,510,298	510,298
45 FASTER FUNDS						
46 FASTER - Bridge Surcharge	91,100,000	97,560,807	6,460,807	100,100,000	102,083,588	1,983,588
47 TOTAL FASTER FUNDS	91,100,000	97,560,807	6,460,807	100,100,000	102,083,588	1,983,588
48 FEDERAL FUNDS						
49 Buy America Bonds Credit	6,381,900	5,918,642	(463,258)	6,000,000	6,000,000	0
50 Re-distributed FHWA for BE Projects	15,000,000	15,000,000	0	15,000,000	15,000,000	0
51 TOTAL FEDERAL FUNDS	6,381,900	5,918,642	(463,258)	6,000,000	6,000,000	0
52 Statewide Bridge Enterprise Revenue	114,881,900	121,499,921	6,618,021	124,100,000	126,593,886	2,493,886

53 Total Transportation Revenue & Federal Obligation **1,363,216,849** **1,471,748,228** **108,531,379** **1,606,390,655** **1,339,117,067** **(267,273,588)**

Notes: Total FY 17 Revenue is \$2.0M less than sum of CDOT, HPTE, & CBE revenue due to Fee for Service from CDOT to HPTE

Attachment B: FY2016-17 TC Decision Items

Decision Item Request for Transportation Commission Approval:
Environmental Compliance: Hazardous Materials Base Budget Increase
Fiscal Year 2016-2017

Summary of Funding Request:

\$1,000,000 increase to base budget, in order to address an increasing scope of work. This permanent increase will be effective beginning in FY2016-17 and all future fiscal years.

	Base Adjustment
Capital	\$1,000,000
Total Requested	\$1,000,000

Justification of Request

- Supports projects funded by the Hazardous Materials (Hazmat) program
- Ensures the ability to maintain compliance
- Aligns baseline funding with scope of work

Summary

In order to maintain compliance, the Hazardous Materials (Hazmat) program requires an adjustment to its baseline funding, which has not been increased in ten years. While the budget remains the same, the scope of work increases annually. On the First Supplement, \$1.0 million was approved for the FY 2015-16 budget to support Hazmat’s investigative, remedial, evaluation, clean up, and abatement efforts. This same amount is now being sought as a base budget increase for FY 2016-17 and all subsequent fiscal years.

Details

Projects funded by the Hazmat program include investigative and remedial efforts for surface and subsurface hazardous wastes releases. These undertakings include the operations and maintenance of CDOT Headquarters and South Holly facilities, as well as numerous sites across the state, such as highway spills where the responsible party cannot be identified. Additionally, Hazmat provides services to assist with assessment and removal of suspect Methamphetamine Lab waste, and conducts asbestos inspections and abatements for all CDOT and right of way properties prior to any disturbance or demolition of structure materials.



Currently, the annual funding for Hazardous Materials is \$2.2 million. A base budget increase to \$3.2 million per year will address seven main areas within CDOT. Those areas and their approximate yearly costs are:

- Secondary containment structures, \$1.2 million
- Installation and maintenance of water treatment systems in vehicle maintenance facilities, \$500,000
- Cost to operate the Materials Testing Lab (MTL), \$350,000
- Stormwater management, \$250,000
- Remediation of contaminated soils and groundwater on CDOT property, \$600,000
- Asbestos inspections and abatement, \$300,000

Expected benefits from increasing Hazmat's base budget:

- Funding will align with scope of work increases
- Ability to keep CDOT properties in compliance with Federal and State standards and regulations pertaining to hazardous and solid materials handling,
- Continued Hazmat services including remedial action, technical guidance, liaison services contractual services, and project oversight to ensure mitigation is complete



Attachment C: FY2016-17 Staff and EMT-Approved Decision Items

Decision Item Request for Staff Approval:
Office of Emergency Management: Incident Command System Training
Fiscal Year 2016-2017

Summary of Funding Request:

\$25,000 increase to the base budget. This permanent increase will be effective beginning in FY2016-17 and all future fiscal years.

	Base Adjustment
Personal Services	\$25,000
Total Requested	\$25,000

Justification of Request

- Supports training required under federal and state regulation

Summary

This request is to fund Incident Command System (ICS) classes within CDOT Headquarters and CDOT Maintenance Sections to maintain National Incident Management System (NIMS) compliance with Homeland Security Presidential Directive-5 and State of Colorado Executive Order D 001 04. ICS-300 and ICS-400 level of classes will be provided for the CDOT Maintenance Sections and Headquarters for key individuals that have roles and responsibilities in incident and emergency management

Details

Homeland Security Presidential Directive-5 requires that all Federal departments and agencies make adoption of NIMS (National Incident Management System) by local, State, Territorial, and Tribal organizations a condition to receive Federal Preparedness Grant Awards. Under Executive Order D 001 04, Governor Bill Owens adopted NIMS statewide and established NIMS as the State standard for incident management in 2004. The purpose of NIMS is to provide a common approach for managing incidents. The funds requested in this decision item will provide statewide NIMS training for CDOT employees have a role or responsibility in responding to incidents and disasters which are natural or man-made. This training provides a flexible but standardized set of incident management practices with emphasis on common principles, a consistent approach to operational structures and supporting mechanisms, and an integrated approach to resource management



Decision Item Request for Staff Approval:
Office of Emergency Management: Incident Command System Training
Fiscal Year 2016-2017

Summary of Funding Request:

\$65,000 increase to the base budget. This permanent increase will be effective beginning in FY2016-17 and all future fiscal years.

	Base Adjustment
Personal Services	\$15,0000
Operating	\$50,000
Total Requested	\$65,000

Justification of Request

- Supports the development and sustainment of a CDOT Incident Management Team to manage major and catastrophic incidents
- Includes the development and buildout of CDOT Regional Emergency Operations Centers (EOC) staffing, training, and exercise capabilities

Summary

The funds requested will be used to develop and maintain a CDOT Incident Management Team consisting of command and general staff position and unit leaders as needed. This funding would also provide for the development and buildout of CDOT Regional EOC staffing, training, and exercise capabilities. Continuous funding will sustain the program and keep certified positions current.

Details

The office of Emergency Management is mandated to utilize the Incident Command System (ICS) in responding to incidents. Lessons learned from the 2013 flood event reveal that a robust incident and emergency management capability is needed to respond to and recover from major incidents. Funds from this request will pay for the development of a CDOT Statewide Incident Management Team (IMT), which will manage major and catastrophic incidents. This initiative will also include the implementation of expanded regional EOCs with properly trained staff and emergency planning to work alongside the IMT.

This project will:

- Leverage the Colorado Wildfire and Incident Management Academy as training opportunities on task books and specialized position-specific training classes
- Leverage FEMA position-specific leadership classes for key ICS/EOC positions for training and certification standards



- Utilize real-time events within CDOT long-term closures for incidents to build on the trainees' experience and become state certified.

This project has the following objectives:

- Development of IMT, which has all certified personnel in Command and General Staff position and key unit leader position,
- Development of CDOT Regional EOC personnel to provide coordination capability in emergencies to focus on resource mobilization, information sharing, public information and situational awareness,
- Development of an EOC/ICS interface that has clear operational procedures with roles and responsibilities.



Decision Item Request for Staff Approval:
Office of Emergency Management: Communication System Upgrade
Fiscal Year 2016-2017

Summary of Funding Request:

\$450,000 increase to the base budget. This permanent increase will be effective beginning in FY2016-17 and all future fiscal years.

	Base Adjustment
Personal Services	\$25,0000
Operating	\$425,000
Total Requested	\$450,000

Justification of Request

- Funding to support ongoing purchases for new equipment vehicle radios
- New technology provides opportunities to be more cost effective
- Supports strategic communication initiatives

Summary

This request is for developing a communications platform that is dependable and sustainable, providing reliable communications within day to day and emergency operations statewide. CDOT’s radio fleet is becoming obsolete, as the radio manufacturer no longer makes replacement parts for the relevant radio model. This funding will allow for a more rapid replacement of communication technology that is also more cost effective. Additionally, new communication technology will provide for alternative communication in emergency situations.

Details

Currently, CDOT has 2,939 radios in its 800 mhz radio fleet. Of those 2,939 radios, 1,270 radios are non-serviceable and the manufacturer no longer makes replacement parts for this model. Another 400 radios will be in the same condition in two years. This puts over half of the radio fleet in non-repairable status. Current funding allows CDOT to replace up to 11 percent of the fleet annually, requiring a five-year replacement cycle to replace the non-repairable portion of the radio fleet. The requested funding will allow CDOT to utilize new technology and allow CDOT to be more cost effective by using leveraging opportunities in Vehicle Repeater Systems, which would eliminate the need for a mobile unit. This funding will also provide redundant and alternative communication needs such as the Government Emergency Telecommunication Service and Wireless Preferred Service to enhance communication capabilities in emergency situations.



Decision Item Request for Staff Approval:
Project Support: North Holly Property Operating Budget
Fiscal Year 2016-2017

Summary of Funding Request:

\$30,000 increase to the base budget. This permanent increase will be effective beginning in FY2016-17 and all future fiscal years.

	Base Adjustment
Personal Services	\$30,000
Total Requested	\$30,000

Justification of Request

- North Holly’s operating budget was last updated in 2006
- Requires upgrades to HVAC system, carpets, and facility maintenance

Summary

The North Holly property has been operating under the same budget since 2006. The HVAC system was installed nine years ago, and requires more frequent repairs given the age of the system. In 2014, the Xcel Energy bill rose from an average \$4,500 per month to \$11,000 per month. Repairs to the sytem will be cost saving. The building’s carpeted areas need to be cleaned, repaired, or possibly replaced. Labor costs for services have risen, and the building is paying for snow removal and janitorial services. The age of the building necessitates constant repairs.

